

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

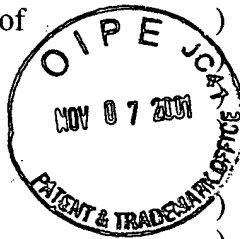
In re the Patent Application of

Koichiro KISHIMA

Application No. 09/940,469

Filed: August 29, 2001

For: OPTICAL HEAD AND OPTICAL PICKUP



Attn: Applications Branch

**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION**

Commissioner for Patents
Washington, D.C. 20231

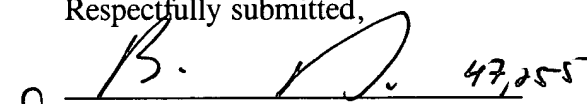
Sir:

In response to the Notice to File Missing Parts of Application mailed October 2, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: November 7, 2001



Ronald P. Kananen
Reg. No. 24,104

Rader, Fishman & Grauer PLLC
Suite 501
1233 20TH Street, N.W.
Washington, D.C. 20036
Telephone: (202) 955-3750
Customer No. 23353



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,469	08/29/2001	Koichiro Kishima	SON-2218

23353
RADER FISHMAN & GRAUER PLLC
LION BUILDING
1233 20TH STREET N.W., SUITE 501
WASHINGTON, DC 20036



CONFIRMATION NO. 4902

FORMALITIES LETTER



OC000000006832166

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

D. Wadly

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/08/2001 BNGUYEN1 00000081 180013 09940469

01 FC:105 130.00 CH